

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
**UTILITY APPLICATION AND FEE TRANSMITTAL (1.53(b))**

ASSISTANT COMMISSIONER FOR PATENTS  
BOX PATENT APPLICATION  
Washington, D.C. 20231

Sir:

Transmitted herewith for filing is the patent application of

Inventor(s) names and addresses:

- (1) Robert Michael Blood  
11830 36th Ave.  
Everett, Washington 98208-5324
- (2) Abed Charamand  
2375 Walnut Avenue  
Upland, California 91784

☐ Additional inventors are listed on a separate sheet

For: AIRCRAFT CABIN TELEPHONY TEST UNIT

Enclosed Are:

8 page(s) of specification  
1 page(s) of Abstract  
2 page(s) of claims  
7 sheets of ☒ Formal ☐ Informal drawings

3 page(s) of Declaration and Power of Attorney

- ☐ Unsigned  
☐ Newly Executed  
☒ Copy from prior application  
☐ Deletion of inventors including Signed Statement under 37 C.F.R. §1.63(d)(2)

☒ **Incorporation by Reference:**

- ☒ The entire disclosure of the prior application, from which a copy of the combined Declaration and Power of Attorney is supplied herein, is considered as being part of the disclosure of the accompanying application and is incorporated herein by reference.



- ☐ Microfiche Computer Program (Appendix)
- ☐ page(s) of Sequence Listing  
☐ computer readable disk containing Sequence Listing  
☐ Statement under 37 C.F.R. §1.821(f) that computer and paper copies of the Sequence Listing are the same
- ☒ Assignment Papers (assignment cover sheet and assignment documents)
- ☐ A check in the amount of \$40.00 for recording the Assignment  
☐ Charge the Assignment Recordation Fee to Deposit Account No. 13-4503, Order No. \_\_\_\_\_
- ☒ Assignment Papers filed in the parent application Serial No. 09/460,512
- ☐ Certification of chain of title pursuant to 37 C.F.R. §3.73(b)
- ☐ Priority is claimed under 35 U.S.C. §119 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_ (country).
- ☐ Certified Copy of Priority Document(s) [ \_\_\_\_\_ ]  
☐ filed herewith  
☐ filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ English translation document(s) [ \_\_\_\_\_ ]  
☐ filed herewith  
☐ filed in application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Priority is claimed under 35 U.S.C. §119(e) for:  
Provisional Application No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☐ Priority is claimed under 35 U.S.C. §120 for:  
Application No(s). \_\_\_\_\_, filed \_\_\_\_\_, in \_\_\_\_\_.
- ☐ Information Disclosure Statement
- ☐ Copy of [ \_\_\_\_\_ ] cited references  
☐ PTO Form-1449  
☐ References cited in parent application Serial No. \_\_\_\_\_, filed \_\_\_\_\_.
- ☒ Preliminary Amendment
- ☒ Return receipt postcard (MPEP 503)
- ☒ This is a ☒ continuation ☐ divisional ☐ continuation-in-part of prior application serial no. 09/460,512, filed December 14, 1999.
- ☐ Cancel in this application original claims \_\_\_\_\_ of the parent application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
- ☒ A Preliminary Amendment is enclosed. (Claims added by this Amendment have been properly numbered consecutively beginning with the number following the highest numbered original claim in the prior application.
- ☐ The status of the parent application is as follows:

- ☒ A Petition for Extension of Time and a Fee therefor has been or is being filed in the parent application to extend the term for action in the parent application until August 28, 2000.
- ☐ A copy of the Petition for Extension of Time in the co-pending parent application is attached.
- ☐ No Petition for Extension of Time and Fee therefor are necessary in the co-pending parent application.
- ☒ Please abandon the parent application at a time while the parent application is pending or at a time when the petition for extension of time in that application is granted and while this application is pending has been granted a filing date, so as to make this application co-pending.
- ☐ Transfer the drawing(s) from the parent application to this application
- ☒ Amend the specification by inserting before the first line the sentence:  
This is a continuation of co-pending application Serial No. 09/460,512, filed December 14, 1999.

I. CALCULATION OF APPLICATION FEE				
	Number Filed	Number Extra	Rate	Basic Fee \$690.00/345.00
Total Claims	14- 20 =	0x	\$18.00/\$9.00	\$ 0.00
Independent Claims	3- 3 =	0x	\$78.00/\$34.00	\$ 0.00
<input type="checkbox"/> Multiple Dependent Claims		If marked, add fee of \$260.00 (\$130.00)		\$ 0.00
TOTAL:				\$ 690.00

- ☐ A statement claiming small entity status is attached or has been filed in the above-identified parent application and its benefit under 37 C.F.R. §1.28(a) is hereby claimed. Reduced fees under 37 C.F.R. §1.9 (f) paid herewith \$\_\_\_\_\_.
- ☐ A check in the amount of \$ \_\_\_\_\_ in payment of the application filing fees is attached.
- ☒ Charge fee to Deposit Account No. 13-4503 Order No. 2455-4565US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

- ☒ The Assistant Commissioner is hereby authorized to charge any additional fees which may be required for filing this application pursuant to 37 CFR §1.16, including all extension of time fees pursuant to 37 C.F.R. § 1.17 for maintaining copendency with the parent application, or credit any overpayment to Deposit Account No. 13-4503 Order No. 2455-4565US1. A DUPLICATE COPY OF THIS SHEET IS ATTACHED.

Respectfully submitted,  
MORGAN & FINNEGAN, L.L.P.

Dated: \_\_\_\_\_

8/28/00

By: \_\_\_\_\_

Keats A. Quinalty

Keats A. Quinalty  
Registration No. 46426  
(202) 857-7887 Telephone  
(202) 857-7929 Facsimile

SENDER'S ADDRESS:

MORGAN & FINNEGAN, L.L.P.  
345 Park Avenue  
New York, NY 10154



UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office  
Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/460,512	12/14/99	BLOOD	R 113250

0222/0128

SAMUEL H DWORETSKY  
AT & T CORPORATION  
P O BOX 4110  
MIDDLETOWN NJ 07748

NOT ASSIGNED

2742

DATE MAILED:

01/28/00

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 130.00.

☐ 1. The statutory basic filing fee is:

- ☐ missing.  
☐ insufficient.

Applicant must submit \$\_\_\_\_\_ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

\$\_\_\_\_\_ for \_\_\_\_\_ total claims over 20.

\$\_\_\_\_\_ for \_\_\_\_\_ independent claims over 3.

\$\_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

*L. Grillon*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

FORM PTO-1533 (REV. 9/98)

PART 1 - ATTORNEY/APPLICANT COPY

AT&T PATENT	
FEB - 1 2000	
DOCKETED BY	MLC
FILED IN	
PREVIOUSLY AS	
U.S. GPO 1999 450-3672	

**FEE TRANSMITTAL**

Patent Fees are subject to annual revision.

Complete if Known

<b>FEE TRANSMITTAL</b> Patent Fees are subject to annual revision.		Application Number	
		Filing Date	
		First Named Inventor	Robert Michael Blood et al.
		Examiner Name	
<b>TOTAL AMOUNT OF PAYMENT</b>	\$2,670	Group/Art Unit	2742
		Attorney Docket No.	113250

**METHOD OF PAYMENT (check one)**

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number 01-2745  
 Deposit Account Name AT&T CORP.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 ☐ Charge the Issue Fee Set in 37 CFR 1.18 at the Mailing Date of the Notice of Allowance

**FEE CALCULATION****1. FILING FEE**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
101	690	Utility(37CFR 1.53(b)) / CPA(37CFR 1.53(d)) Filing Fee	690
106	310	Design Filing Fee	
108	690	Reissue Filing Fee	
114	150	Provisional Filing Fee	

**SUBTOTAL (1)** 690**2. CLAIMS**

- ☒ Filing Under 37CFR 1.53 (b)  
☐ CPA Under 37CFR 1.53 (d)  
☐ Amendment

	Extra Claims	Fee from below	Fee Paid
Total 14 - 20 =	0	18	0
Ind. 3 - 3 =	0	78	0
Multiple Dependent Claims			0

Large Fee Code	Entity Fee(\$)	Fee Description
103	18	Claims in excess of 20
102	78	Independent Claims in excess of 3
104	260	Multiple Dependent Claims
109	78	Reissue independent claims over original patent
110	18	Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)** 0**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Fee Code	Entity Fee(\$)	Fee Description	Fee Paid
105	130	Surcharge - late filing fee or oath	130
127	50	Surcharge - late provisional filing fee or cover sheet	
139	130	Non-English specification	
147	2520	For filing a request for reexamination	
112*	920	Requesting publication of SIR prior to Examiner action	
113*	1840	Requesting publication of SIR after Examiner action	
115	110	Extension for reply within first month	
116	380	Extension for reply within second month	
117	870	Extension for reply within third month	
118	1360	Extension for reply within fourth month	
128	1850	Extension for reply within fifth month	1850
119	300	Notice of Appeal	
120	300	Filing a brief in support of an appeal	
121	260	Request for oral hearing	
138	1510	Petition to institute a public use proceeding	
140	110	Petition to revive - unavoidable	
141	1210	Petition to revive - unintentional	
142	1210	Utility issue fee (or reissue)	
143	430	Design issue fee	
122	130	Petitions to the Commissioner	
123	50	Petitions related to provisional applications	
126	240	Submission of Information Disclosure Statement	
581	40	Recording each patent assignment per property (times number of properties)	
146	690	Filing a submission after final rejection(37 CFR 1.129(a))	
149	690	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify)			
Other fee (specify)			

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL(3)** 1980

<b>SUBMITTED BY</b>		Complete (if applicable)	
Typed or Printed Name	Samuel H. Dworetzky	Reg. Number	27873
Signature	<i>Samuel H. Dworetzky</i>	Date	8/25/00
		Deposit Account User ID	

SEND TO: Assistant Commissioner for Patents, Washington, D.C. 20231



IN THE UNITED STATES  
PATENT AND TRADEMARK OFFICE

*4 Sector*

Applicant(s): Robert Michael Blood et al.  
Attorney Docket No.: 113250  
Application No.: 09/460512  
Filing Date: 12/14/1999  
Examiner Name:  
Group Art Unit: 2742  
Title: Aircraft Cabin Telephony Test Unit

ASSISTANT COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231  
Box: Missing Parts

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
Filing Date Granted

Enclosed is the Declaration and Power of Attorney relating to the above-identified application.

Please charge **AT&T Corp. Deposit Account No. 01-2745** in the amount of \$130.00 to cover the filing fee surcharge. A duplicate copy of this letter is enclosed. In the event of any non-payment or improper payment of a required fee, the Assistant Commissioner is authorized to charge or to credit **AT&T Corp. Deposit Account No. 01-2745** as required to correct the error.

A copy of PTO-1533, Notice to File Missing Parts of Application, is also enclosed.

Date:

8/25/00

By:

Samuel H. Dworetsky

Attorney for Applicant(s)

Reg. No.: 27873

T: 908-221-5234

F: 732-368-6932

AT&T CORP.

P.O. Box 4110

Middletown, New Jersey 07748-4110